

42390.P10469

*Patent*

UNITED STATES PATENT APPLICATION

FOR

**Light-Weight Protocol-Independent  
Proxy For Accessing Distributed Data**

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## Light-Weight Protocol-Independent Proxy For Accessing Distributed Data

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### Field of the Invention

The invention generally relates to accessing resources behind a firewall or other secure environment, and more particularly to a service that recognizes an at least partially encrypted resource reference, e.g., Uniform Resource Locators (URLs), where the service acts as a proxy to obtain a resource identified by the resource reference.

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### Background

With the proliferation of Internet and other network connections, it has become commonplace for a business to place servers on a network, and then serve data to clients. Typically different servers are used to provide different types of data, and for high-volume servers, such as a web server for a popular web site, multiple servers may be used to serve the same data to incoming client connections.

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Unfortunately, while one wants to provide general access to a server, in recent times it has become necessary to secure network servers from intrusions, attacks, or other undesirable access. Providing robust protection, in conjunction with allowing general access, is a difficult issue to resolve. Generally protecting a server requires individual attention to the server. For a business having many servers, the resources required to properly protect the servers can become prohibitively expensive. In addition, protecting servers may be an error prone process, which may result in servers having differing security configurations, or in a worse case, no protection at all.

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## **Brief Description Of The Drawings**

The features and advantages of the present invention will become apparent from the following detailed description of the present invention in which:

5        FIG. 1 is a system data-flow diagram according to one embodiment of the invention.

FIG. 2 is a flow-chart illustrating an exemplary implementation of the FIG. 1 system.

FIG. 3 illustrates an exemplary format for a Uniform Resource Locator (URL).

10        FIG. 4 is a flow-chart of an exemplary embodiment of the FIG. 1 system, in which a client utilizes a network application program using the HyperText Transfer Protocol.

FIG. 5 illustrates a suitable computing environment in which certain aspects of the invention may be implemented.

## **Detailed Description**

15        In the following description, various exemplary embodiments of the invention are disclosed. The illustrated embodiments allow one to take advantage of an existing network infrastructure, e.g., a server network, without requiring all servers within the network to be individually secured for direct client access. Instead, data access for data  
20        stored on the servers is routed through one or more central access points, or "front end servers," which in turn regulate, and translate if required, client accesses to data stored by the servers.

FIG. 1 is a system data-flow diagram according to one embodiment of the invention. Note that although this figure depicts several different managers **104**, **110**, **114**, **120**, **124**, it will be appreciated that these managers and their operations may be variously separated and combined into more or fewer managers than as illustrated. The illustrated separation is to facilitate describing various aspects of the invention, and is not intended to limit or otherwise proscribe potential configurations for the invention. It will further be appreciated that managers may be implemented as separate servers, or within a server, e.g., as a filter, dynamic link library (DLL), service, daemon, etc.

A client **100**, e.g., a machine executing an Internet browser or other networking application program, communicates through a network **102** to a front end manager **104**. The front end manager acts as an access point for requests **106**, e.g., HyperText Transfer Protocol (HTTP) requests, data access requests, or other protocol requests issued by the client. When a request requiring processing by the invention is received, the front end manager validates the request, and possibly and associated identity of the client, by sending an authorization request **108** to a authorization manager **110**. The authorization manager sends an authorization response **112**. In one embodiment, the associated identity for a client is hash encoded to reduce resources required to track and authenticate clients.

In one embodiment, an HTTP-type communication protocol is utilized, and the client request **106** comprises an Internet Uniform Resource Locator (URL), where some or all of the URL path components include an identifier indicating intervention by the invention is desired. In one embodiment, a pre-determined URL path component trigger is used. For example, a URL may be formatted as in FIG. 3, where the URL comprises

a reference **300** to the front end manager, a flag **302** indicating the URL comprises an obscured portion requiring special handling, an obscured portion **304**, e.g., by way of a globally unique identifier (GUID), encryption, embedding, etc. mapping the address for a hidden resource manager **124**, and an identifier **306** for the desired resource of the hidden resource manager.

While the front end manager **104** authorizes the client request, the front end manager also passes the client request, or just the obscured portion **116** thereof, to a location manager **114** for determination of a corresponding de-obscured resource identifier **118**. In one embodiment, the location manager communicates by way of the Lightweight Directory Access Protocol (LDAP), the International Organization for Standardization/ International Telecommunication Union (ISO/ITU) X.500 protocol, or other access protocol known in the art. In an alternate embodiment, rather than the front end manager seeking the de-obscured identifier from the location manager, instead the authorization manager **110** forwards the client request, or just the obscured portion thereof, to the location manager.

Once the front end manager **104** receives the de-obscured resource identifier **118** from the location manager **114**, the front end manager can request the resource from a back end manager **120**. It will be appreciated that the front end manager and the back end manager may be embodied within a single machine. For example, as noted above, the front and back end managers may be operating as filters or DLLs within a single server. In one embodiment, multiple back end managers, not shown, may be storing the desired resource, and that known techniques for selecting one of the multiple managers may be employed. In addition, portions of a desired resource may be

obtained in parallel or in series from several different managers, either automatically, or through identifiers within the client request **106**.

As discussed above, the client request **106** is made according to some protocol, e.g., HTTP or otherwise. The front end manager **104** receives the client request and  
5 creates a new request **122** comprising portions of the client request **106** (see, e.g., FIG. 3 item **306**) and the de-obscured resource identifier **118**. The new request is forwarded to the back end manager **120** for processing. In one embodiment, the new request is constructed such that it appears to originate from the front end manager, rather than from client **100**. In this embodiment, assuming the back end manager is applying  
10 security validation to incoming requests, the back end manager need only be configured to authenticate known front end managers.

The back end manager **120** requests **126** the desired resource from resource manager **124** storing the resource. Note that the resource manager may be storing the desired resource in a format different from that identified in the client request **106**. For  
15 example, the client may request a Moving Picture Experts Group (MPEG) encoding of a video, whereas the resource manager is storing the video as a Microsoft Corporation Audio Video Interleave (AVI) encoding, motion Joint Photographic Experts Group (JPEG) encoding, Apple Computer QuickTime encoding, or the like. Note that a firewall, not illustrated, may insulate the resource manager **124** from access.

20 In the illustrated embodiment, the back end manager issues a resource request **126** according to the encoding format utilized by the resource manager, e.g., issues a request **126** for an AVI encoding of the desired resource. The resource manager returns the requested resource **128**, which is then converted **130**, if necessary, into the

format requested by the client **100**, and then returned **132** by the front end manager to the client. In an alternate embodiment, the resource manager **124** converts the resource as necessary for the client.

5           FIG. 2 is a flow-chart illustrating one implementation of the FIG. 1 system.

A client **100** requests **200** a resource, such as by way of selecting a URL or equivalent with a network application program such as an Internet browser. When the client selects a URL, it is assumed the selected URL is provided to a front end manager **104**. The front end manager identifies **202** that a portion of the URL is at least partially obscured, e.g., mapped or otherwise encoded. If the front end manager **104** does not identify an obscured portion (e.g., FIG. 3 item **304**) of the URL, then the URL is processed in a conventional manner.

The front end manager authenticates **204** the client **100** to ensure the client may request resources by way of the front end manager **104**. In an alternate embodiment, the front end manager is a public access manager not requiring authentication. The front end manager may log (not shown) client access and requests to the front end manager. The front end manager also provides the resource request to a authorization manager **110**, which, in the illustrated embodiment, extracts **206** the obscured URL portion of the resource request. The authorization manager authenticates **208** the client against the resource desired by the client. And, the obscured portion is forwarded **210** to a location manager **114**. In contrast with FIG. 1, as illustrated, the location manager returns **212** a corresponding de-obscured identifier or value for the obscured portion to the authorization manager, which in turn returns **214** it to the front end manager.

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The front end manager identifies **216** passing of the de-obscured resource identifier, and forwards **218** the client's resource request **106** (or FIG. 3 item **306**) and corresponding de-obscured identifier **118** to a back-end manager **120**. In an alternate embodiment, the front end manager only forwards **218** the de-obscured portion and the resource request. The back end manager identifies **220** the resource request URL is obscured, and therefore looks for the de-obscured identifier being passed to it. In one embodiment, the back end manager constructs **224** a valid resource request for a resource manager **124** storing the resource **128** by constructing a new URL by combining the de-obscured identifier **118** and the FIG. 3 identifier **306**.

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The resource manager is contacted **226** with the constructed resource request. The resource manager retrieves the resource and returns **226** it to the back end manager, which in return passes it back front end manager for passing back to client **100**. When implemented in accordance with principles of the invention, such processing behind the front end manager is transparent to the requesting client. Such transparent processing relieves management burdens for resource managers, since the resource managers may be hidden behind a firewall or other protective environment.

Note that FIG. 2 discussion does not require a particular communication protocol, e.g., HTTP. However, as will be discussed below with respect to FIG. 4, if HTTP is utilized, then the client request **106** has an associated HTTP header indicating the nature of the client request, e.g., the Request-Method, etc. The header is passed between client **100** and managers **104**, **110**, **114**, **120**, **124**, and contains the original client request **106** and the corresponding de-obscured identifier when determined by the location manager **114**.

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The front end manager parses the received HTTP header to identify **216** the de-obscured resource identifier, and forwards **218** the client resource request URL and de-obscured identifier, within an HTTP header, to a back-end manager **120**. When the back end manager identifies **220** that the resource request URL is obscured, it inspects the HTTP header to identify **222** the de-obscured identifier within the HTTP header. In one embodiment, the back end manager creates a new header (or set of headers) so that it appears the client request **106** originates from the back end manager. Thus, rather than requiring the resource manager to recognize all clients, instead, the resource manager need only be configured to authenticate back end managers.

FIG. 4 is a flow-chart according to one exemplary embodiment of the FIG. 1 system invention in which a client **100** utilizes a network application program, such as an Internet browser, using the HTTP communication protocol to communicate with manager **104**. The figure is arranged into two portions, a first comprising operations **400-408** corresponding to incoming requests for a resource, and a second comprising operations **410-420** corresponding to providing requested resources.

In the illustrated embodiment, URLs reference obscured resources stored by resource managers **124**, and mapping is utilized to obscure references to the resource managers within the URLs (see FIG. 3). When a request is received, HTTP headers are received from a front end manager **104** by a back end manager **120** that comprise a forwarded **400** client request **106** URL, and a header for the corresponding de-obscured URL portion. A test **402** is performed to determine whether the URL is at least partially obscured. If not, then the client request is processed **418** in a conventional manner.

If so, headers are parsed and the de-obscured URL portion retrieved from the headers, and a portion of the original resource request **106** (e.g., FIG. 3 item **306**) is combined with the de-obscured URL portion to construct **404** a valid resource request for a resource on a resource manager **124**. As noted above, the client **100** can be left  
5 unaware of the processing occurring behind the front end manager **104**, and unaware of the location of the resource manager storing the desired resource. This greatly simplifies security precautions that need to be taken for the managers, as only the front end manager is directly exposed to the clients.

The HTTP headers are inspected to determine **406** the client request method. The constructed **404** de-obscured resource request is used to request **408** the resource from a resource manager. Note that the request may be for only a portion of a resource, such as to allow parallel operations to expedite obtaining a resource from one or more resource managers.

When the back end manager **120** receives the desired resource from the  
15 resource manager **124**, the format of the received resource is inspected to determine **410** the content type received from the resource manager. In one embodiment, the received resource has associated protocol specific meta-data to facilitate the determination **410**, e.g., HTTP headers for the HTTP protocol. The resource is converted **412**, if necessary, to the format originally requested by the client **100**. To  
20 maintain client unawareness of the processing occurring behind the front end manager **104**, new HTTP headers are prepared **414** so that the new headers and the resource can be passed **416** to the client as if coming from the front end manager responsive to the client's initial resource request **106**.

Note that FIGS. 2 and 4 were described with reference to FIG. 1, and may make specific reference to communication protocols or other details. These limitations are not intended to impute limitations to FIG. 1, and are instead intended to represent particular possible embodiments according to FIG. 1.

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FIG. 5 and the following discussion are intended to provide a brief, general description of a suitable computing environment in which certain aspects of the illustrated invention may be implemented. An exemplary system for embodying, for example, FIG. 1 client **100**, or managers **104**, **110**, **114**, **120**, **124**, includes a machine **500** having system bus **502** for coupling various machine components. Typically, attached to the bus are processors **504**, a memory **506** (e.g., RAM, ROM), storage devices **508**, a video interface **510**, and input/output interface ports **512**.

The system may also include embedded controllers, such as Generic or Programmable Logic Devices or Arrays (PLD, PLA, GAL, PAL), Field-Programmable Gate Arrays (FPGA), Application Specific Integrated Circuits (ASIC), single-chip computers, smart cards, etc. The system is expected to operate in a networked environment using physical and/or logical connections to one or more remote systems **514**, **516** through a network interface **518**, modem **520**, or other pathway. Systems may be interconnected by way of a wired and/or wireless networks, including an intranet, the Internet, local area networks, wide area networks, cellular, cable, laser, satellite, microwave, "Blue Tooth" type networks, optical, infrared, or other carrier.

The invention may be described by reference to program modules for performing tasks or implementing abstract data types, e.g., procedures, functions, data structures,

application programs, etc., that may be stored in memory **506** and/or storage devices **508** and associated storage media, e.g., hard-drives, floppy-disks, optical storage, magnetic cassettes, tapes, flash memory cards, memory sticks, digital video disks, biological storage, as well as transmission environments such as network **522** over which program modules may be delivered in the form of packets, serial data, parallel data, or other transmission format.

Illustrated methods and corresponding written descriptions are intended to illustrate machine-accessible media storing directives, or the like, which may be incorporated into single and multi-processor machines, portable computers, such as handheld devices including Personal Digital Assistants (PDAs), cellular telephones, etc. An artisan will recognize that program modules may be high-level programming language constructs, or low-level hardware instructions and/or contexts, that may be utilized in a compressed or encrypted format, and may be used in a distributed network environment and stored in local and/or remote memory.

Thus, for example, with respect to the illustrated embodiments, assuming machine **500** operates as client **100**, then remote devices **514**, **516** may respectively be a server embodying a front end manager **104** and a server embodying a back end manager **120**. It will be appreciated that remote machines **514**, **516** may be configured like machine **500**, and therefore include many or all of the elements discussed for machine. It should also be appreciated that machines **500**, **514**, **516** may be embodied within a single device, or separate communicatively-coupled components.

Having described and illustrated the principles of the invention with reference to illustrated embodiments, it will be recognized that the illustrated embodiments can be

modified in arrangement and detail without departing from such principles. And, even though the foregoing discussion has focused on particular embodiments, it is understood other configurations are contemplated. In particular, even though expressions such as "in one embodiment," "in another embodiment," or the like are used herein, these phrases are meant to generally reference embodiment possibilities, and are not intended to limit the invention to particular embodiment configurations. As used herein, these terms may reference the same or different embodiments, and unless indicated otherwise, embodiments are combinable into other embodiments.

Consequently, in view of the wide variety of permutations to the above-described embodiments, the detailed description is intended to be illustrative only, and should not be taken as limiting the scope of the invention. What is claimed as the invention, therefore, is all such modifications as may come within the scope and spirit of the following claims and equivalents thereto.